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PTO/SB/17 (05-03)  
Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 215.00

## Complete if Known

Application Number 09/575,776  
Filing Date May 22, 2000  
First Named Inventor Henry, Cheryl  
Examiner Name Vortman, Anatoly  
Art Unit 2835  
Attorney Docket No. 85611

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

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50-0937

Gray, Plant, Mooty

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	55.00
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	160.00
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 215.00

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Peter Forrest	Registration No. (Attorney/Agent)	33,235	Telephone	612-343-3981
Signature		Date	05/07/2003		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Reply to Minneapolis

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May 7, 2003

**VIA EXPRESS MAIL (LABEL #EE353630528US)**

Mail Stop Appeal Brief—Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In re application of: Henry, Cheryl  
Application No.: 09/575,776  
Filed: August 9, 2000  
Title: DATA STORAGE DEVICE READER AND METHOD OF USING SAME  
Examiner: Vortman, Anatoly  
Attorney Docket No: 85611

**TRANSMITTAL LETTER**

Dear Sir:

Enclosed for filing with the United States Patent and Trademark Office, please find:

1. Transmittal Letter, including Certificate of Express Mailing under 37 C.F.R. 1.10;
2. Appeal Brief (in triplicate);
3. Petition for Extension of Time under 37 CFR 1.136(a);
4. Fee transmittal for FY 2003 (in duplicate); and,
5. Postage Paid postcard itemizing above documents.

Please charge Deposit Account 50-0937 for the Small Entity fee for Filing a Brief in Support of an Appeal fee (\$160.00), Extension for Reply within First Month (\$55.00), and any other fees required at this time. If you have any questions, please contact me.

Very truly yours,

Peter Forrest (33,235)  
Attorney for Applicant

GP:1457016 v1


Commissioner for Patents

Page 2

May 7, 2003

**Certificate of Mailing by Express Mail under 37 C.F.R. 1.10**

Express Mail label number: **EE353630528US**. Date of Deposit: May 7, 2003. I hereby certify that the following identified correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450: Transmittal Letter, including Certificate of Express Mailing under 37 C.F.R. 1.10; Appeal Brief (in triplicate); Petition for Extension of Time under 37 CFR 1.136(a); Fee transmittal for FY 2003 (in duplicate); and, Postage Paid postcard itemizing above documents.

  
Peter Forrest



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**MAY 07 2003**

**TECHNOLOGY CENTER 2800**

Date: May 7, 2003

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Receiver's Direct Line Number: 1-703-872-9317

Please deliver to: Anatoly Vortman  
Group Art Unit 2835

Firm: United States Patent and  
Trademark Office

Location: Washington, DC

From: Peter Forrest (Reg. No. 33,235)

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**COMMENTS:**

Re: Application Number 09/575,776

**Amendment After Final Enclosed**

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May 7, 2003

**VIA FACSIMILE**

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Alexandria, VA 22313-1450

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MAY 07 2003

TECHNOLOGY CENTER 2800

In re application of: Henry, Cheryl  
Application No.: 09/575,776  
Filed: August 9, 2000  
Title: DATA STORAGE DEVICE READER AND METHOD OF USING SAME  
Examiner: Vortman, Anatoly  
Attorney Docket No: 85611

**TRANSMITTAL LETTER**

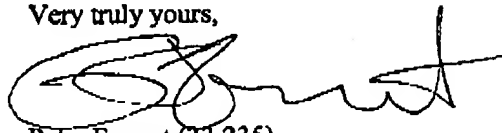
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1. Transmittal Letter, including Certificate of Transmission under 37 C.F.R. 1.8;
2. Amendment after final.

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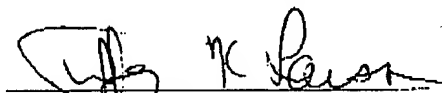
Very truly yours,

  
Peter Forrest (33,235)  
Attorney for Applicant.

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I hereby certify that the following identified correspondence is being transmitted via facsimile to the United States Patent and Trademark Office on May 7, 2003: Transmittal letter with Certificate of Facsimile Transmission under 37 C.F.R. §1.8; and, Amendment after final

  
Tiffany K. Larson